IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ART UNIT:

EXAMINER:

Application

Lawrence J. Thomas

of:

Serial No.:

(not yet assigned)

Filed:

(concurrently herewith)

Entitled:

PLASMID-BASED VACCINE FOR

TREATING ATHEROSCLEROSIS

Continuation of U.S. Serial No. 09/171,969

Attorney Docket No.: TCS-414.2P US-1

Box Patent Application

Assistant Commissioner for Patents

Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

This paper is filed concurrently with the application papers requesting the filing of a continuation application based on U.S. Serial No. 09/171,969, filed October 2, 1998. Please enter the following amendments prior to calculation of the filing fee and prior to examination on the merits.

IN THE SPECIFICATION

On page 1, line 3, after the phrase "This application", please insert the following:

--is a continuation of U.S. Serial No. 171,969, filed 2 October 1998, which is the United States national stage under 35 U.S.C. § 371 of international application No. PCT/US97/07294, filed 1 May 1997, which--.

On page 1, line 4, after the phrase "21 February 1997,", please delete the remainder of the sentence and insert:

--now abandoned, which claims the benefit under 35 U.S.C. § 119 of United States provisional Serial No. 60/052,983, filed 1 May 1996--.

IN THE CLAIMS

Please cancel original Claims 1-16.

REMARKS

This application is a continuation of U.S. Serial No. 09/171,969, filed October 2, 1998. The present application is filed to receive consideration of additional embodiments disclosed in the specification as originally filed.

The specification has been amended to provide updated information regarding cross-references to related applications under 37 C.F.R. § 1.78.

Originally filed Claims 1-16, previously examined in U.S. Serial No. 09/171,969 are hereby cancelled.

Examination and allowance of Claims 17-35 are respectfully solicited.

Respectfully submitted,

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

The undersigned hereby certifies that this correspondence listed above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10, postage prepaid, Express Mailing Label No. EL164335092US, in an envelope addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on the date indicated below.

April 30, 2001

Date

Stephanie & Leicht
Stephanie L. Leicht